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Attorney Docket No.: 020130-001510US

Client Reference No.: MJP0017

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

VANDER HORN and WANG

Application No.: 10/627,582

Filed: July 25, 2003

For: NOVEL COMPOSITIONS WITH

POLYMERASE ACTIVITY

Examiner: Richard G. Hutson

Art Unit: 1652

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Search/Examination report issued in a corresponding PCT application.

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As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Jean M. Lockyer Reg. 200. 44,879

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JML:mcd 60741049 v1

Substitute for form 1449A/PTO			Complete if Known		
			Application Number	10/627,582	
INFORMAT	TION DISCLOS	SURE	Filing Date	July 25, 2003	
STATEMENT BY APPLICANT			First Named Inventor	Vander Horn, Peter B.	
			Art Unit	1652	
(Use as m	any sheets as necessary)		Examiner Name	Richard G. Hutson	
net 1	of	2	Attorney Docket Number	020130-001510US	

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number Kind Code ^{2 (F known)}			Figures Appear
	A1	US-6,132,970	10-17-2000	Stemmer	
	A2	US-5,948,663	09-07-1999	Mathur	
	А3	US-5,834,285	11-10-1998	Comb et al.	
	A4	US-5,093,257	03-03-1992	Gray	
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FOREIGN PATENT DOCUMENTS								
Examiner		Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
Initials*	No.¹	Country Code ³	Number ⁴	Kind Code⁵ (if known)	I MM-DD-YYYY I Applicant of Cited Document I	or Relevant Figures Appear	Τ°	
	A5	wo	01/92501	A1	12-06-2001	MJ Bioworks, Inc.		
	A6	wo	01/27632	A2	04-19-2001	Cambridge Drug Discovery		
	A7	wo	97/07205	A1	02-27-1997	Novo Nordisk A/S		
	A8	wo	97/07202	A1 .	02-27-1997	Novo Nordisk A/S		
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Examiner Signature		Date Considered	

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Substitut	e for form 1449B/PTO	No.		Complete if Known			
				Application Number	10/627,582		
INFO	DRMATION D	DISCLOS	SURE	Filing Date	July 25, 2003		
STA	TEMENT BY	APPLIC	ANT	First Named Inventor	Vander Horn, Peter B.		
				Art Unit	1652		
	(Use as many sheets	as necessary)		Examiner Name	Richard G. Hutson		
Sheet	2	of	2	Attorney Docket Number	020130-001510US		

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
	A9	Altamirano, M., et al., "Directed evolution of new catalytic activity using the α/β-barrel scaffold," Nature, (February 10,2000) 403: 617-622				
	A10	Farinas, E., et al., "Directed enzyme evolution," <u>Curr. Opin. Biotechnol.</u> , (2001) 12(6): 545-551				
	A11	Van Kampen, M., et al., "Substrate Specificity of Staphylococcus hyicus Lipase and Staphylococcus aureus Lipase as studied by in vivo chimeragenesis, "Biochemistry, (1998) 37: 3459-3466				
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Examiner	Date	
Signature	Considered	

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